



NAVAJO NATION DEPARTMENT OF JUSTICE
OFFICE OF THE ATTORNEY GENERAL

ETHEL B. BRANCH
Attorney General

HEATHER CLAH
Deputy Attorney General

DEPARTMENT OF JUSTICE
INITIAL ELIGIBILITY DETERMINATION
FOR NAVAJO NATION FISCAL RECOVERY FUNDS

RFS/HK Review #: _____

Date & Time Received: _____

Date & Time of Response: _____

Entity Requesting FRF: _____

Title of Project: _____

Administrative Oversight: _____

Amount of Funding Requested: _____

Eligibility Determination:

- FRF eligible
- FRF ineligible
- Additional information requested

FRF Eligibility Category:

- (1) Public Health and Economic Impact
- (2) Premium Pay
- (3) Government Services/Lost Revenue
- (4) Water, Sewer, Broadband Infrastructure

U.S. Department of Treasury Reporting Expenditure Category: _____

Returned for the following reasons (Ineligibility Reasons/Paragraphs 5.E.(1)-(10) of FRF Procedures):

- | | |
|--|--|
| <input type="checkbox"/> Missing Form | <input type="checkbox"/> Expenditure Plan incomplete |
| <input type="checkbox"/> Supporting documentation missing | <input type="checkbox"/> Funds will not be obligated by 12/31/2024 |
| <input type="checkbox"/> Project will not be completed by 12/31/2026 | <input type="checkbox"/> Incorrect Signatory |
| <input type="checkbox"/> Ineligible purpose | <input type="checkbox"/> Inconsistent with applicable NN or federal laws |
| <input type="checkbox"/> Submitter failed to timely submit CARES reports | |
| <input type="checkbox"/> Additional information submitted is insufficient to make a proper determination | |

Other Comments: _____

Name of DOJ Reviewer: _____

Signature of DOJ Reviewer: lorenzo Curley

Disclaimers:

If additional information has been requested and you wish to provide it, please resubmit all the required forms updated to include the additional information. Full resubmission will expedite the Initial Eligibility Determination process. Therefore, please include a new RFS form indicating resubmission, revised Appendix A, Budget Form 1, and other supporting documents. **Please email your resubmission to arpa@nndoj.org.** Please be aware that under Resolution BFS-31-21 a Project or Program can only be reviewed twice, therefore it is critical that you include all the requested additional information for your second submission.

An NNDOJ Initial Eligibility Determination is based on the documents provided, which NNDOJ will assume are true, correct, and complete. Should the Project or Program change in any material way after the initial determination, the requestor must seek the advice of NNDOJ. An initial determination is limited to review of the Project or Program as it relates to whether the Project or Program is a legally allowable use – it does not serve as an opinion as to whether or not the Project or Program should be funded, nor does it serve as an opinion as to whether or not the amount requested is reasonable or accurate.

THE NAVAJO NATION
FISCAL RECOVERY FUNDS REQUEST FORM & EXPENDITURE PLAN
FOR NAVAJO NATION GOVERNMENT UNITS

Part 1. Identification of parties.

NN Government Unit requesting FRF: Office of the Speaker Date prepared: August 3, 2023
Indicate Department, Program, Office, Division, or Branch

NN Government Unit's mailing address: PO Box 3390 phone/email: 928 871-7254
Window Rock, AZ 86515 website (if any): _____

Form prepared by: Peggy Nakai, Legislative Advisor phone/email: peggynakai@navajo-nsn.gov

CONTACT PERSON'S name, title and Department, Program, Office, etc.

CONTACT PERSON'S info

Title & type of Project: BFC Consultant (Revenue Replacement-Provision of Government Services)

NN Government Unit's Director or Manager: Shaandiin Parrish, BFC Chair phone & email: 928 871-7160

Division Director (if any): Manuel Rico, Chief of Staff phone & email: 928 871-7160

Branch Chief: Speaker Crystalyne Curley phone & email: 928 871-7160

Funding Recipient will be working with: Budget and Finance Committee of the Navajo Nation Council on the Project and
Indicate Branch and/or Division
the same will be submitting the Funding Request Package for Initial Eligibility Determination Review, and will have Administrative Oversight over this FRF Expenditure Plan.

List names or types of Subcontractors or Subrecipients that will be paid with FRF (if known): _____ document attached

Amount of FRF requested: \$300,000 FRF funding period: September 2023- December 2026
Indicate Project starting and ending/deadline date

Part 2. Expenditure Plan details.

(a) Describe the Program(s) and/or Project(s) to be funded, including how the funds will be used, for what purposes, the location(s) to be served, and what COVID-related needs will be addressed:

The BFC Consultant will provide data analytics technical support to the Committee to ensure 110 chapters/Navajo Nation programs/divisions are accountable, progressively performing the project scopes to ensure complete encumbrance/expenditure of ARPA funds by December 2024-2026. The BFC consultant will create data storage for real-time responses for immediate decisionmaking by the Committee in order to monitor the progress of ARPA projects. The consultant will highlight deficiencies and weaknesses seen in the abatement of Covid-19 virus spread among communities per the data analysis made for the Committee's proactive decision making and response. The consultant will use the data to develop a model for local communities use that immediately addresses mitigation, preparedness, response and recovery of service delivery to those impacted by Covid-19 document attached

(b) Explain how the Program or Project will benefit the Navajo Nation, Navajo Communities, or the Navajo People:

The consultant services will provide the Committee the ability to analyze information related to Covid-19 cases and immediately address the need for mitigating and minimizing the virus spread among communities. Based on the data analysis monitoring the performance of the Nation to address the Covid related projects, the consultant will provide their analysis of successful outcomes that will enhance a model to use for an immediate response should the Nation face a future similar epidemic. The model will demonstrate direct mitigation, preparedness, response and recovery. document attached

APPENDIX A

(c) Provide a prospective timeline showing the estimated date of completion of the Project and/or each phase of the Project. Disclose any challenges that may prevent you from incurring costs for all funding by December 31, 2024, and/or fully expending funds and completing the Program(s) or Project(s) by December 31, 2026:

Based on the funding, the project end date will be December 31, 2026 with all funds being encumbered before December 2024. Navajo Nation Budget forms 1 and 4 are attached, also Exhibit **K**

document attached

(d) Identify who will be responsible for implementing the Program or Project:

Responsible party will be Chairperson, Budget and Finance Committee for implementing the consultant services progress and deliverables of the project.

document attached

(e) Explain who will be responsible for operations and maintenance costs for the Project once completed, and how such costs will be funded prospectively:

The Budget and Finance Committee will be responsible for guiding the consultant to address and assist with monitoring the expenditure of ARPA funds toward project completion dates using data to review processes, policies, response time, etc. Bi-weekly and quarterly updates will be provided by the consultant (Bi-weekly to BFC and quarterly to the Office of the Speaker)

document attached

(f) State which of the 66 Fiscal Recovery Fund expenditure categories in the attached U.S. Department of the Treasury Appendix 1 listing the proposed Program or Project falls under, and explain the reason why:

Categories: Revenue Replacement: 6.1 - Provision of Government Services
Pursuant to U.S. Treasury guidelines and funding objectives, these consulting services will address the conditions that contributed to poor public health and economic outcomes during the pandemic. By concentrating in areas with limited economic opportunities and inadequate or poor housing quality, the access to resources facilitates improved health outcomes including services connecting residents with healthcare services to support health living environments and communities conducive to mental and physical wellness. The exacerbation of health disparities during the pandemic and the role of preexisting social vulnerabilities are responsive to the public health impact of the pandemic. These consulting services will build healthier environment. Access to government services that facilitates sustainable economic, social growth and can drastically increase the quality of healthcare provided.

document attached

Part 3. Additional documents.

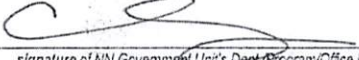
List here all additional supporting documents attached to this FRF Expenditure Plan (or indicate N/A):

[Empty box for listing additional supporting documents]

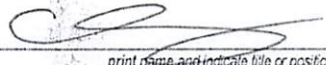
Part 4. Affirmation by Funding Recipient.

Funding Recipient affirms that its receipt of Fiscal Recovery Funds and the implementation of this FRF Expenditure Plan shall be in accordance with Resolution No. CJY-41-21, the ARPA, ARPA Regulations, and with all applicable federal and Navajo Nation laws, regulations, and policies:

NN Government Unit
Preparer: 
signature of Preparer/CONTACT PERSON

Approved by: 
signature of NN Government Unit's Dept. Program/Office Director or Manager

Approved to submit
for Review: 
signature of Branch Chief or Division Director

Print Name, Title,
Branch or Division: 
print name and indicate title or position

office of the Speaker
indicate Branch or Division

Navajo Nation Budget forms
Office of the Speaker –
BFC Consultant project

THE NAVAJO NATION
PROGRAM BUDGET SUMMARY

PART I. Business Unit No.: <u>NEW</u> Program Title: <u>Office of the Speaker</u> Division/Branch: <u>LEGISLATIVE</u>								
Prepared By: <u>Linda Youvella</u> Phone No.: <u>928.871.6380</u> Email Address: <u>lindayouvella@navajo-nsn.gov</u>								
PART II. FUNDING SOURCE(S)	Fiscal Year /Term	Amount	% of Total	PART III. BUDGET SUMMARY	Fund Type Code	(A) NNC Approved Original Budget	(B) Proposed Budget	(C) Difference or Total
Fiscal Recovery Funds (FRF)	10/01/22-09/30/26	\$ 300,000.00	100%	2001 Personnel Expenses				
				3000 Travel Expenses				
				3500 Meeting Expenses				
				4000 Supplies				
				5000 Lease and Rental				
				5500 Communications and Utilities				
				6000 Repairs and Maintenance				
				6500 Contractual Services	1		\$ 300,000.00	\$ 300,000.00
				7000 Special Transactions				
				8000 Public Assistance				
				9000 Capital Outlay				
				9500 Matching Funds				
				9500 Indirect Cost				
				TOTAL		\$0.00	\$ 300,000.00	\$ 300,000.00
				PART IV. POSITIONS AND VEHICLES		(D)	(E)	
				Total # of Positions Budgeted:				
				Total # of Vehicles Budgeted:				
		TOTAL:	\$300,000.00 100%					
PART V. I HEREBY ACKNOWLEDGE THAT THE INFORMATION CONTAINED IN THIS BUDGET PACKAGE IS COMPLETE AND ACCURATE.								
SUBMITTED BY: <u>Manuel Rico, Jr.</u> Program Manager's Printed Name				APPROVED BY: <u>John of the Speaker</u> Division Director / Branch Chief's Printed Name				
<u>[Signature]</u> 10-26-2023 Program Manager's Signature and Date				<u>[Signature]</u> 10/26/23 Division Director / Branch Chief's Signature and Date				

THE NAVAJO NATION
PROGRAM PERFORMANCE CRITERIA

PART I. PROGRAM INFORMATION:
 Business Unit No.: NEW Program Name/Title: Office of the Speaker

PART II. PLAN OF OPERATION/RESOLUTION NUMBER/PURPOSE OF PROGRAM:
 The Navajo Nation Chapters Plan of Operation is referenced in Title 26 of the Navajo Nation Code and RDCOAU-71-18.

PART III. PROGRAM PERFORMANCE CRITERIA:	1st QTR		2nd QTR		3rd QTR		4th QTR	
	Goal	Actual	Goal	Actual	Goal	Actual	Goal	Actual
1. Goal Statement: Chapters will provide direct emergency services to their community. Program Performance Measure/Objective: Chapters will report numbers of reviews, impacts, etc.							110	
2. Goal Statement: Chapters will upate and utilize their Chapter Emergency ALERT Plans when necessary. Program Performance Measure/Objective: Keep members of the chapter community with up-to-date information, and public education, etc.							110	
3. Goal Statement: Chapters will identify areas of emergencies. Program Performance Measure/Objective: Facilitate areas for services to be provided, if needed, and/or refer to appropriate resources.							110	
4. Goal Statement: Chapters will address emergency matters utilizing their approvals processes/policies/procedures. Program Performance Measure/Objective: Assist community members with necessary items to utilize to reduce impact of emergency situations.							110	
5. Goal Statement: Program Performance Measure/Objective:								

PART IV. I HEREBY ACKNOWLEDGE THAT THE ABOVE INFORMATION HAS BEEN THOROUGHLY REVIEWED.

<p><u>Mannel Rico, Jr.</u> Program Manager's Printed Name</p> <p><u>[Signature]</u> 10-26-2023 Program Manager's Signature and Date</p>	<p><u>Office of the Speaker</u> Division Director/Branch Chief's Printed Name</p> <p><u>[Signature]</u> 10/26/23 Division Director/Branch Chief's Signature and Date</p>
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**THE NAVAJO NATION
DETAILED BUDGET AND JUSTIFICATION**

PART I. PROGRAM INFORMATION:			
Program Name/Title: <u>Office of the Speaker</u>		Business Unit No.: <u>NEW</u>	
PART II. DETAILED BUDGET:			
(A)	(B)	(C)	(D)
Object Code (LOD 6)	Object Code Description and Justification (LOD 7)	Total by DETAILED Object Code (LOD 6)	Total by MAJOR Object Code (LOD 4)
	6500 CONTRACTUAL SERVICES Consultant will provide data analytics technical support, monitor the performance of the Navajo Nation projects.		\$ 300,000.00
6910	Other Contractual Services	\$ 300,000.00	
6921	Other Services $\$300/\text{hour} \times 1,000 \text{ hours} = \$300,000.00$		
TOTAL		300,000	300,000

APPENDIX I
PROJECT BUDGET SCHEDULE

**THE NAVAJO NATION
PROJECT BUDGET SCHEDULE**

PART I. Business Unit No.: <u>NEW</u> Project Title: <u>BFC Proposal</u> Project Description: <u>Consultant will gather/research data re: chapter ARPA expend plans; analyze the data/develop data base; review NN emergency mitigation/response model; analyze financial assistance model; analyze preparedness, response; develop best practice model and implement ; test model at chapters; report qtrly</u> Check one box: <input checked="" type="checkbox"/> Original Budget <input type="checkbox"/> Budget Revision <input type="checkbox"/> Budget Reallocation <input type="checkbox"/> Budget Modification													PART II. Project Information Project Type: <u>contractual service</u> Planned Start Date: <u>Nov. 2023</u> Planned End Date: <u>Dec. 2026</u> Project Manager: <u>Office of the Speaker</u>																	
PART III. List Project Task separately; such as Plan, Design, Construct, Equip or Furnish.	PART IV. Use Fiscal Year (FY) Quarters to complete the information below. O = Oct.; N = Nov.; D = Dec., etc.																		Expected Completion Date if project exceeds 8 FY Qtrs.											
	FY 2023									FY 2024									Dec-26											
	1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.			1st Qtr.			2nd Qtr.			3rd Qtr.			4th Qtr.								
	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M	A	M	J	Jul	A	S	O	N	D	J	F	M
1. advertise, hire consultant 2. Gather/research expend. plans 3. consultant gather data, analyze 4. determine expenditures of ARPA projects according to plan(s) report completed projects; shortfall(s) reallocations of unspent funds identify fund sources; duplication(s) 5. develop data base 6. review mitigation/response model analyze financial assistance model analysis preparedness, response 7. develop model using best practices 8. implement model @chapters test to find best methodology 9. advise BFC on ARPA expenditures - reports quarterly																														
PART V.	\$			\$			\$			\$			\$			\$			\$			PROJECT TOTAL								
Expected Quarterly Expenditures										100,000.00									100,000.00			\$300,000.00								

APPENDIX A
Office of the Speaker -
BFC Consultant Project